

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number		10072376
Filing Date		2002-02-06
First Named Inventor	Chris Wendel	
Art Unit	2625	
Examiner Name	Houshang Safaipoor	
Attorney Docket Number	077077-9140-00	

U.S.PATENTS						<a href="#">Remove</a>
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5138667		1992-08-11	Roch et al.	
	2	5181257		1993-01-19	Steiner et al.	
	3	5215011		1993-06-01	Monney	
	4	5227815		1993-07-13	Dastin et al.	
	5	5305392		1994-04-19	Longest, Jr. et al.	
	6	5313886		1994-05-24	Muller	
	7	5321434		1994-06-14	Strauch et al.	
	8	5331338		1994-07-19	Mager	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10072376
Filing Date		2002-02-06
First Named Inventor	Chris Wendel	
Art Unit		2625
Examiner Name	Houshang Safaipoor	
Attorney Docket Number		077077-9140-00

	9	5337668		1994-08-16	Matsuoka et al.	
	10	5365074		1994-11-15	Genovese	
	11	5388517		1995-02-14	Levein	
	12	5394175		1995-02-28	Powers	
	13	5394222		1995-02-28	Genovese	
	14	5412577		1995-05-02	Sainio et al.	
	15	RE35067		1995-10-17	Bauknecht	
	16	5572433		1996-11-05	Falconer et al.	
	17	5689425		1997-11-18	Sainio et al.	
	18	5695106		1997-12-09	Bauknecht	
	19	5745217		1998-04-28	Factor	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10072376
Filing Date		2002-02-06
First Named Inventor	Chris Wendel	
Art Unit		2625
Examiner Name	Houshang Safaipoor	
Attorney Docket Number		077077-9140-00

	20	5748780		1998-05-05	Stolfo	
	21	5771811		1998-06-30	Siler et al.	
	22	5774226		1998-06-30	Muller	
	23	5812705		1998-09-22	Wang et al.	
	24	5813333		1998-09-29	Ohno	
	25	5816151		1998-10-06	Wang et al.	
	26	5828793		1998-10-27	Mann	
	27	5917556		1999-06-29	Katayama	
	28	5930567		1999-07-27	Agano	
	29	5982932		1999-11-09	Prokoski	
	30	5992318		1999-11-30	DiBello et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10072376
Filing Date		2002-02-06
First Named Inventor	Chris Wendel	
Art Unit		2625
Examiner Name	Houshang Safaipoor	
Attorney Docket Number		077077-9140-00

	31	6005985		1999-12-21	Brison et al.	
	32	6024018		2000-02-15	Darel et al.	
	33	6058839		2000-05-09	Frazzitta	
	34	6075901		2000-06-13	Signes et al.	
	35	6084631		2000-07-04	Tonkin et al.	
	36	6112658		2000-09-05	Gunther et al.	
	37	6113260		2000-09-05	Genrich et al.	
	38	6123261		2000-09-26	Roustaci	
	39	6141434		2000-10-31	Christian et al.	
	40	6148313		2000-11-14	Freidin et al.	
	41	6157744		2000-12-05	Nagasaka et al.	

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number	10072376
Filing Date	2002-02-06
First Named Inventor	Chris Wendel
Art Unit	2625
Examiner Name	Houshang Safaipoor
Attorney Docket Number	077077-9140-00

If you wish to add additional U.S. Patent citation information please click the Add button.

## **U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

## **FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

## **NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

## **EXAMINER SIGNATURE**

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10072376
Filing Date	2002-02-06
First Named Inventor	Chris Wendel
Art Unit	2625
Examiner Name	Houshang Safaipoor
Attorney Docket Number	077077-9140-00

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/thomas j. otterlee/	Date (YYYY-MM-DD)	2007-04-06
Name/Print	Thomas J. Otterlee	Registration Number	48652

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.